



In re Application of:

KIYOFUMI SAKAGUCHI, ET AL.

Docket No. 03500.010530.5

Continuation-In-Part of

Application Nos.: 09/161,775, 09/734,667  
and 09/933,711

Examiner: Not Yet Assigned

Filed: March 1, 2002

Group Art Unit: Not Yet Assigned

For: PROCESS FOR PRODUCTION OF  
SEMICONDUCTOR SUBSTRATE

March 26, 2002

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 70	MINUS	** 113	= 0	x \$9 \$18	\$0.00
INDEP. CLAIMS	* 16	MINUS	*** 6	= 10	x \$42 \$84	\$840.00
Fee for Multiple Dependent claims \$140°/\$280						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT---						\$840.00

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☐ °Verified Statement claiming small entity status is enclosed, if not filed previously.

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☒ A check in the amount of \$ 840.00 is enclosed.

☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.

☒ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate copy of this paper is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the fee for a \_\_\_\_\_ month extension is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the Information Disclosure Statement fee is enclosed.

☒ Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicants

Registration No. 091767

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

03500.010530.5



PATENT APPLICATION

03CC \$  
#3/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
KIYOFUMI SAKAGUCHI, ET AL. )  
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Application Nos.: 09/161,775, 09/734,667 )  
and 09/933,711 )  
Filed: March 1, 2002 )  
For: PROCESS FOR )  
PRODUCTION OF )  
SEMICONDUCTOR )  
SUBSTRATE )  
Examiner: Not Yet Assigned  
Group Art Unit: Not Yet Assigned  
10/085,046  
March 26, 2002

Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to action on the merits, please further amend the above-identified application as follows:

05/26/2002 TL0011 00000003 10085046  
01 FC:102 840.00 OP

IN THE CLAIMS:

Please cancel claims 1-73 without prejudice or disclaimer.

Please add the following new claims 74-133.

03/26/2002 JBALINAN 00000164 09161775  
01 FC:102 840.00 OP  
Adjustment date: 05/28/2002 TL0011  
03/26/2002 JBALINAN 00000164 09161775  
01 FC:102 -840.00 OP

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